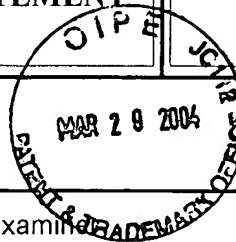


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ZDC-14003/03

In Re Application Of: Sun Yu



Serial No.  
10/760,957

Filing Date  
January 20, 2004

Examined

Group Art Unit

Title: **LIGHT EMITTING FISHING LURE**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**NOTE:** Copies of the prior art references cited herein, on pages 1-5, have been previously submitted in the parent application, Serial No. 10/237,639 filed September 9, 2002. Copies of the newly cited foreign prior art references on page 6 are enclosed herewith.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ZDC-14003/03

In Re Application: Sun Yu

Serial No.  
10/760,957

Filing Date  
January 20, 2004

Examiner

Group Art Unit

**LIGHT EMITTING FISHING LURE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 07-1180 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on March 25, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Janice R. Kuehn*  
Signature of Person Mailing Correspondence

JANICE R. KUEHN

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Avery N. Goldstein*  
Signature

Avery N. Goldstein, Reg. No. 39,204

Attorney for Applicant

Gifford, Krass, Groh, Sprinkle,

Anderson & Citkowski, P.C.

280 N. Old Woodward Avenue, Suite 400

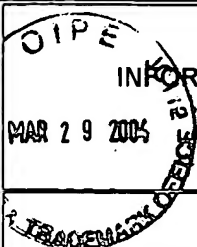
Birmingham, MI 48009-5394

(248) 647-6000

Dated:

*March 24, 2004*

CC:

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

**ZDC-14003/03**

SERIAL NO.

**10/760957**

APPLICANT(S)

**Sun Yu**

FILING DATE

**January 20, 2004**

GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US-6,005,024	12-1999	Anders et al.	523	172	
	US-5,472,737	12-1995	Anders, Irving	427	137	
	US-5,424,006	06-1994	Murayama et al	252	301.4R	
	US-5,376,303	12-1994	Royce, et al	252	301.R	
	US-5,043,096	08-1991	Lendmayer, Joseph	252	301.4S	
	US-4,857,228	08-1989	Kabay et al.	252	301.4S	
	US-2,979,467	04-1961	name not available	252	301.4S	
	US-2,372,071	03-1945	name not available	252	302.4R	
	US-1,637,963	08-1927	name not available	427	157	
	US-1,407,534	02-1922	name not available	427	554	
	US-6,299,323	10-2001	Yu et al.	362	116	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP-2002-360126-B1	12-2002	Japan				
	JP-10-276618-B1	10-1998	Japan				
	JP-10-24861-B1	09-1998	Japan				
	JP-9-238596-B1	09-1997	Japan				
	JP-8-126450-B1	05-1996	Japan				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER****DATE CONSIDERED**

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>ZDC-14003/03</b>		SERIAL NO. <b>10/760957</b>	
				APPLICANT(S) <b>Sun Yu</b>			
				FILING DATE <b>January 20, 2004</b>		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US-6,190,018	02-2001	Parsons et al.	362	116	
		US-6,182,391	02-2001	Hubbard, Steven M.	43	42.33	
		US-6,095,661	08-2000	Lebens et al.	362	184	
		US-6,048,280	04-2000	Palmer et al.	473	416	
		US-6,018,902	02-2000	Gudermuth et al.	43	42.32	
		US-5,987,802	11-1999	Caprio, Susan M.	43	17.6	
		US-5,943,809	08-1999	Ring, Ronald W.	43	17.5	
		US-5,490,344	02-1995	Bussiere, Robert A.	43	17.6	
		US-5,414,951	05-1995	Martin, Jerry L.	43	17.5	
		US-5,388,039	02-1995	Dolph, James L.	43	17.6	
		US-5,311,413	05-1994	Farmer et al.	43	17.6	

FOREIGN PATENT DOCUMENTS								
*	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		JP-3-4726-B1	01-1991	Japan				
		JP-8-116845-B1	05-1996	Japan				
		CA-2290903-B1	05-2001	Canada				
		JP-5-284883-B1	11-1993	Japan				
		GB-1638-B1	01-1885	Great Britain	43	17.5		

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US-5,222,320	06-1993	Erickson, Nels E.	43	42.32	
	US-5,210,525	05-1993	Lennon et al.	362	116	
	US-5,063,703	11-1991	Riley, Patrick F.	43	42.06	
	US-5,077,930	01-1992	Berry, William G.	43	42.33	
	US-4,796,167	01-1989	Brown et al.	362	158	
	US-4782,432	11-1988	Coffman, Stephen L.	362	184	
	US-4,774,643	09-1988	McGinnis et al.	362	189	
	US-4,621,447	11-1986	Rhodes, James A.	43	17.6	
	US-4,617,751	10-1986	Johansson, Hakan	43	17.6	
	US-4,194,936	03-1980	Martuch, Leon L.	43	17.5	
	US-3,935,659	02-1976	McCallum, James B.	43	17.6	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
GB-144124-B1	06-1920	Great Britain	43	17.6		
FR-633050-B1	10-1927	France	43	17.6		
GB-1200511-B1	07-1970	Great Britain	43	17.6		
AU-665687	11-1995	Australia				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US-3,918,190	11-1975	Hornbeck, Eugene K.	43	17.6	
	US-3,870,843	03-1975	Witte, Waldemar	362	189	
	US-3,834,058	09-1974	Gaunt, Jack R.	43	42.32	
	US-3,708,903	01-1973	Bercz et al.	43	17.6	
	US-3,568,354	03-1971		43	17.6	
	US-2,568,279	09-1951	Franz et al.	250	459.1	
	US-2,563,522	08-1951	Fisher	43	17.5	
	US-2,558,870	07-1951	Michelman	362	158	
	US-2,303,097	11-1942	Townsend et al.	43	17.6	
	US-1,900,339	03-1933	Harrell	43	17.6	
	US-1,817,694	07-1896	Lafky	43	17.6	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US-564,839	07-1896	Pflueger	43	17.6	

FOREIGN PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2,500,442	03-1950	Waite, C.W.			
		2,528,083	10-1950	Ruff, R.			
		2,550,988	05-1951	Flournoy, F.M.			
		2,552,224	05-1951	Setterblade, F.B.			
		2,598,471	05-1952	Waite, C.W.			
		2,621,437	12-1952	Pedranti, E.T.			
		2,638,697	05-1953	Schwartz, G.S.			
		2,649,802	08-1953	Poston, L.E.			
		2,692,839	10-1954	Duerig, W.H.			
		2,709,868	06-1955	Simmons, F.F.			
		2,711,044	06-1955	Woods, A.J.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
		EP 0 331 518 A1	09-1989	Europe	85	01	
		CH 617831 A1	06-1980	Switzerland	43	17.6	✓
		DE 3402443 A1	07-1985	Germany	43	17.6	✓
		JP 01108931 A	04-1989	Japan	43	17.6	✓
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2,740,220	04-1956	Caplan, A.			
		2,754,610	07-1956	Carlson, W.F.			
		2,765,570	10-1956	Sedivy, L.J.			
		2,817,920	12-1957	Mitchell, R.P., et al.			
		2,897,623	09-1959	Flournoy, F.M.			
		2,939,240	06-1960	Goodman, B.			
		2,955,375	10-1950	Mitchell, R.P.			
		2,976,639	03-1962	King, C.O.			
		3,001,315	09-1961	Zimmermann, O.			
		3,040,462	06-1962	Guida, F.C.			
		3,045,381	07-1962	Martin, H.F.			

FOREIGN PATENT DOCUMENTS								
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<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,077,046	02-1963	Murray, M.F.			
		3,213,562	10-1965	Salvin, T.C., et al.			
		3,308,569	03-1967	Foellner, O. et al.			
		3,310,902	03-1967	Godby, J.H.			
		3,390,478	07-1968	McKnight, S.N. et al.			
		3,393,465	07-1968	Powell, R.F.			
		3,535,812	10-1970	Crececius, O.M.			
		3,568,354	03-1971	Yacko, E.M.			
		3,567,987	05-1971	Voight, H.K.W. et al.	240	2.25	
		3,579,895	05-1971	Orn, Vernon B.	43	17.6	
		3,608,228	09-1971	Borresen, R.W. et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
<b>OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,621,600	11-1971	Dworski, M.			
		3,680,250	08-1972	Hetrick, Glenn C.	43	54.5 R	
		3,690,028	09-1972	Walker, Jr., Albert T.	43	17.6	
		3,708,903	01-1973	Bercz et al.	43	17.6	
		3,708,906	01-1973	Stein	43	100	
		3,721,033	03-1973	Haynes, M.R.			
		3,762,092	10-1973	Bercz et al.	43	17.6	
		3,838,177	08-1974	Day	240	6.4 F	
		3,861,072	01-1975	Holcombe	43	17.6	
		3,863,380	02-1975	Purlia	43	17.6	
		3,895,455	07-1975	Johnston	43	17.6	

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		3,935,659	02-1976	McCallum	43	17.6	
		3,940,868	03-1976	Northcutt	43	17.6	
		3,969,839	07-1976	Ziegler	43	17.6	
		4,085,538	04-1978	Jankowski	43	17.6	
		4,114,305	09-1978	Wohlert et al.	43	17.6	
		4,175,348	11-1979	Ray	43	17.6	
		4,227,331	10-1980	Ursrey et al	43	17.6	
		4,347,681	09-1982	Fima	43	17.6	
		4,250,651	02-1981	Ramme	43	17.6	
		4,426,803	01-1984	Helling	43	17.6	

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,581,839	04-1986	Mattison	43	17.6	
		4,617,751	10-1986	Johansson	43	17.5	
		4,621,447	11-1986	Rhodes	43	17.5	
		4,638,584	01-1987	Lindsay	43	17.6	
		4,649,660	03-1987	Kurka et al.	43	17.5	
		4,663,880	05-1987	Grohl	43	17.6	
		4,669,213	06-1987	LeRoy	43	17.6	
		4,672,766	06-1987	Mattison	43	17.6	
		4,693,032	09-1987	Mattison	43	17.6	
		4,700,504	10-1987	Mattison	43	42.33	
		4,709,499	12-1987	Ottaviano	43	17.6	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,727,674	03-1988	Garr	43	17.6	
		4,741,120	05-1988	Cota et al.	43	17.6	
		4,751,788	06-1988	Mattison	43	17.6	
		4,757,632	07-1988	Grohl	43	17.6	
		4,763,433	08-1988	Kulak	43	17.6	
		4,777,756	10-1988	Mattison	43	17.6	
		4,799,327	01-1989	Treon	43	17.6	
		4,800,670	01-1989	Mattison	43	17.6	
		4,811,513	03-1989	Grohl	43	17.6	
		4,819,361	04-1989	Boharski	43	17.6	
		4,823,497	04-1989	Pierce	43	17.6	
<b>FOREIGN PATENT DOCUMENTS</b>							
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<b>OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>ZDC-14003/03</b>	SERIAL NO. <b>10/760,957</b>
	APPLICANT(S) <b>Sun Yu</b>	
	FILING DATE <b>January 20, 2004</b>	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,839,983	06-1989	Pippert	43	42.06	
	4,879,831	11-1989	Herrlich	43	17.6	
	4,885,864	12-1989	Carner	43	17.5	
	4,888,904	12-1989	Douglas, Jr.	43	17.6	
	4,888,905	12-1989	Garr	43	17.6	
	4,959,919	10-1990	Rao et al.	43	17.6	
	4,972,623	11-1990	Delricco	43	17.6	
	5,063,700	11-1991	Kiefer et al.	43	17.6	
	5,070,437	12-1991	Roberts, Sr.	362	158	
	5,157,857	10-1992	Livingston	43	17.6	
	5,159,773	11-1992	Gentry et al.	43	17.1	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,175,951	01-1993	Fruchey	43	17.6	
		5,190,366	03-1993	World	362	34	
		5,299,107	03-1994	Ratcliffe et al.	361	158	
		5,330,282	07-1994	Rodgers	43	17.6	
		5,388,039	02-1995	Dolph	362	154	
		5,392,555	02-1995	Tingey	43	17.6	
		5,461,815	10-1995	Rodgers	43	17.6	
		5,465,524	11-1995	Vallone et al.	43	42.32	
		5,495,690	03-1996	Hunt	43	17.6	
		5,566,494	10-1996	Zimmer	43	17.6	
		5,697,182	12-1997	Rodgers	43	17.1	

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,974,721	11-1999	Johnson et al	43	17.6	
		5,983,553	11-1999	Gordon	43	17.6	
		5,987,802	11-1999	Caprio	43	17.5	
		6,029,388	03-2000	Yokogawa et al.	43	17.6	
		6,055,763	05-2000	Trotter	43	17.6	
		6,079,144	06-2000	Morgan et al.	43	17.6	
		6,098,331	08-2000	Kim et al.	43	4.5	
		6,108,960	08-2000	Sylla et al.	43	42.09	
		6,108,962	08-2000	Barron	43	42.31	
		US 6,192,617 B1	02-2001	Lyles	43	42.06	
		US 6,195,929 B1	03-2001	Sylla et al.	43	42.09	
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,2309,254 B1	04-2001	Sylla et al.	43	42.09	
		US 6,226,917 B1	05-2001	Sylla et al.	43	42.09	
		US 6,318,016 B1	11-2001	Ellig	43	17.6	
		US 6,336,288 B1	01-2002	Foss	43	17.6	
		US 6,546,666 B2	04-2003	Schultz et al.	43	17.6	
		US 6,647,659 B1	11-2003	King et al.	43	17.6	
		US 6,671,995 B1	01-2004	Harkin	43	17.6	
		US2001/0013193 A1	08-2001	Schultz et al.	43	17.6	
		US2002/0174590 A1	11-2002	Nix et al.	43	17.6	
		US2003/0115787 A1	06-2003	Lindgren	43	17.6	
		US2003/0182841 A1	10-2003	Calak, Jr. et al.	43	17.6	

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